

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14961

In Re Application Of: **Hiroyuki Ishikawa**

Serial No.

09/964,202

Filing Date

September 26, 2001

Examiner

Unassigned

Group Art Unit

2621

Title:

MOVING PICTURE HIGH-SPEED CODER AND MOVING PICTURE HIGH-SPEED CODING METHOD

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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I certify that this document and fee is being deposited on **January 4, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Dated: **January 4, 2002**

Signature

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Registration No. 30,749
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Garden City, NY 11530
(516) 742-4343

PJE:dg

CC:



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------------|---|------------------|-----------------|
| Applicant: | Hiroyuki Ishikawa | Examiner: | Unassigned |
| Serial No: | 09/964,202 | Art Unit: | 2621 |
| Filed: | September 26, 2001 | Docket: | 14961 |
| For: | MOVING PICTURE HIGH-SPEED CODER AND MOVING PICTURE HIGH-SPEED CODING METHOD | Dated: | January 4, 2002 |

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Patent Application No. 10-155149, dated June 9, 1998.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 4, 2002

Dated: January 4, 2002


Michelle Mustafa

Applicant is submitting a copy of the above-cited reference. The relevance of the above-identified reference has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

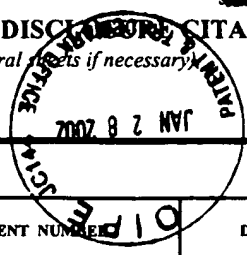


Paul J. Esatto, Jr.

Registration No. 30,749

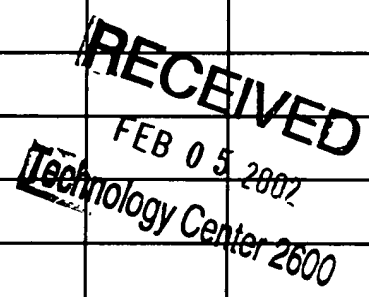
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400 Garden City Plaza
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PJE:dg

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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | Docket Number (Optional) 14961 | Application Number 09/964,202 |
| | Applicant(s) Hiroyuki Ishikawa | |
| | Filing Date September 26, 2001 | Group Art Unit 2621 |



U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
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FOREIGN PATENT DOCUMENTS

| REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|-----|------------------|---------------|--------------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | 10-155149 | 6/9/98 | Japan | | | | |
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OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.